

## Annexure B

Investor Complaints Data to be displayed by Stock Brokers on their respective website

### Data for every month ending

SN	Received From	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL	NIL	NIL

### Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April 2023	NIL	NIL	NIL	NIL
2	May 2023	NIL	NIL	NIL	NIL
3	June 2023	NIL	NIL	NIL	NIL
4	July 2023	NIL	NIL	NIL	NIL
5	August 2023	NIL	NIL	NIL	NIL
6	September 2023	NIL	NIL	NIL	NIL
7	October 2023	NIL	NIL	NIL	NIL
8	November 2023	NIL	NIL	NIL	NIL
9	December 2023	NIL	NIL	NIL	NIL
10	January 2024	NIL	NIL	NIL	NIL
11	February 2024	NIL	NIL	NIL	NIL
12	March 2024	NIL	NIL	NIL	NIL
	<b>Grand Total</b>				

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2019-20	NIL	NIL	NIL	NIL
2	2020-21	NIL	NIL	NIL	NIL
3	2021-22	NIL	NIL	NIL	NIL
4	2022-23	NIL	NIL	NIL	NIL
5	2023-24	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL